



NUCLEARELECTRICA

Appendix 1 – Supporting documents for dividends payment

1. CASH PAYMENT

1.1. In case of resident individuals presenting in person at any BRD office in Romania, the dividend payment shall be made based on the identity document bearing the personal identification number (P.I.N.).

1.2. In case of non-resident individuals presenting in person at any BRD office in Romania the payment of dividends shall be made upon presentation of a passport.

The non-resident individual shareholders who wish for the application of the more favorable provisions of the Double Tax Treaty concluded between Romania and their country of residence, must send or submit their valid tax residency certificate for the year of the dividend payment, in original or legalized copy, with apostille/supra-authentication, if applicable, accompanied by the authorized translation, as well as contact details for possible clarifications regarding the tax residency certificates. SNN reserves its right to ask the shareholders/representative for additional documents following the analysis of the application of dividend payment.

In case the tax residency certificate together with the application for bank transfer of the net dividends distributed by SNN are presented after **23.10.2017**, but not later than 15.12.2017, SNN will retain, on the payment date, the standard dividend tax provided by the Romanian Fiscal Code following that any remaining tax due to the shareholders be paid after the reception and verification of the documentation.

1.3. In case of individuals under 14 years age, the payment of dividends shall be made through the respective legal representative – tutor/parent of the minor, based on the following documents: shareholder who is not represented by a Participant birth certificate bearing the P.I.N. + 1 certified as a „true copy” photocopy (the photocopy will be retained), the legal document establishing tutorship where the tutor is not a parent + 1 certified as a „true copy” photocopy (the photocopy will be retained), and the identification document of the tutor/parent + 1 certified as a „true copy” photocopy (the photocopy will be retained).

1.4. In case of individuals – disabled individuals, the payment of dividends shall be performed through the trustee of the respective person, based on the following documents: shareholder who is not represented by a Participant identity document bearing the P.I.N. + 1 certified as a „true copy” photocopy (the photocopy will be retained), the legal document establishing curatorship + 1 certified as a „true copy” photocopy (the photocopy will be retained), and the identification document of the trustee + 1 certified as a „true copy” photocopy (the photocopy will be retained).

1.5. In case of shareholders who do not present in person at BRD desks, but empower a different person for such purposes, the dividends shall be paid to the respective empowered person based on the following documents: special power of attorney legalized by a public notary, which contains the mandate to receive the dividends issued by no more than 3 years before the payment date, except where the power of attorney has a duration of more than 3 years and is still valid on the date of payment + 1 certified as a „true copy” photocopy (the photocopy will be retained) and the identification document of the representative + 1 certified as a „true copy” photocopy (the photocopy will be retained).

Societatea Nationala NUCLEARELECTRICA S.A.

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Trade Registry number: J40/7403/1998, Sole registration code: 10874881,

Paid and subscribed capital: 3.012.210.410 lei

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1.6. The documents in a foreign language shall be submitted with a certified Romanian translation, and documents in a foreign language issued by a foreign authority shall be apostilled/superlegalized, as applicable

1.7. As per the new legal provisions, payments shall not be authorized based on certificates of inheritance and neither to the shareholders whose identification data does not match the documents presented at the cash desk or the ones registered with the payment agent. In case the Shareholders' Registry on June 9, 2017 includes deceased persons, the dividends will be paid only after the request of the heir(s) and the registration at the Depozitarul Central of the direct transfer of ownership on the securities as an effect of succession, as per the legal applicable regime, as well as after the update of the information in the registry of the payment agent, following the request of Depozitarul Central. In case a shareholder is not included in the shareholders' database owned by the payment agent BRD, the shareholder is kindly asked to contact Depozitarul Central in order to remedy the situation.

2. PAYMENT BY BANK TRANSFER

2.1. Individual shareholders, in person or by legal or conventional representative, shall submit the attached form, indicating the bank and bank account (IBAN code) opened in the shareholder's name (the template is available on SNN's website www.nuclearelectrica.ro Investors Relations section, on the website of BRD www.brd.ro) accompanied by:

- a copy of the valid identification document containing the personal identification number – certified as a „**true copy**” by the holder;
- bank account excerpt or another original document issued by the bank (signed and stamped) whereby the bank certifies the existence of the account in the name of the shareholder and indicates the related IBAN code, **in original**;
- a copy of the documents certifying the quality of the signatory as legal or conventional representative, if applicable – certified as a “**true copy**” by the holder;
- the non-resident natural persons shareholders seeking the application of the more favorable provisions of the convention on the avoidance of double taxation between Romania and their country of residence shall submit a valid tax residence certificate for the year of the dividend payment, in original or legalized copy, apostilled/superlegalized, if applicable, accompanied by a Romanian authorized translation as well as contact details for possible clarifications regarding the tax residency certificates.

2.2. Legal persons/other entities shareholders, by legal or conventional representative, shall submit the attached form, indicating the bank and bank account (IBAN code) opened on the name of the shareholder (the template is available on SNN's website www.nuclearelectrica.ro Investors Relations section, on the website of BRD www.brd.ro), accompanied by:

- A copy of the registration certificate - certified as a “**true copy**” by the holder;
- A copy of the document attesting the capacity of legal representative of the company (confirmation of company's details issued by the Trade Register/the equivalent entity – for foreign entities) - certified as a “**true copy**” by the holder;
- A copy of the documents attesting the capacity as a conventional representative of the signatory of the request, if applicable - certified as a “**true copy**” by the holder;
- Bank account excerpt or another **original** document (signed and stamped) whereby the bank certifies the existence of the account in the name of the shareholder – legal person and indicating the related IBAN code;
- The non-resident legal persons seeking the application of the more favorable provisions of the convention on the avoidance of double taxation between Romania and their country of residence shall submit a valid tax residence certificate for the year of the dividend payment, in original or legalized copy, apostilled/superlegalized, if applicable, accompanied by a Romanian authorized translation as well as contact details for possible clarifications regarding the tax residency certificates.

2.3. In the case of PENSION FUNDS:

- A copy of the valid identification document of the legal representative of the fund's management company, within its validity period – identity card with P.I.N. for Romanian citizens or passport with registration number for foreign citizens;
- A copy of the confirmation of the company's details issued by the Trade Registry of the fund's management company/other equivalent document issued no more than 3 months before, indicating the legal representative of the fund's management company;
- Copy of the registration certificate/other equivalent document of the fund's management company;
- Copy of the regulatory authority's decision authorizing the pension fund and its management company;
- In the case of non-resident pension funds: the valid tax residence certificate, in original or legalized copy, apostilled/superlegalized, if applicable, and accompanied by a Romanian authorized translation, an affidavit whereby the legal representative of the fund's management company declares that the authorization of the pension fund and its management company is valid as at the payment date, and, if available, information with regard to an official website of the regulatory authority of the country of origin where the status of the pension fund can be verified.

2.4. In case of INVESTMENT FUNDS without legal person classified as such as per the legal regulations:

- An affidavit signed by the legal representative/authorized person to represent the investment fund, which states:
 - The type of investment fund (open/closed investment fund)
 - The fact that the investment fund does not have a legal person
 - The identification details of the fund, namely: sole identification/registration number as provided in the SNN Shareholders Registry kept by Depozitarul Central, the full name of the fund, the authorization decision, the registration number in ASF registry, the number and date of ASF notification and its validity as well as other documents and information considered necessary;
- Valid copy, certified as "true copy" of the identity card of the legal representative of the investment fund administrative company – identity card with P.I.N. for Romanian citizens or passport with identification number for foreign citizens;
- Copy, certified as "true copy" of the confirmation of the company's details of the investment fund administrative company/other equivalent document, not older than 3 months, which stated the legal representative of the fund's administrative company;
- Copy, certified as "true copy" of the company contract;
- Copy, certified as "true copy" of the regulation authority decision which states the authorization of the investment fund administrative company;
- Copy, certified as "true copy" of the subscription confirmation of the fund to ASF.

2.5. The documents in a foreign language shall be submitted with a notarized Romanian translation, and documents in a foreign language issued by a foreign authority shall be apostilled/superlegalized, as applicable.

2.6. As per the new legal provisions, payments shall not be authorized based in certificates of inheritance and neither to the shareholders whose identification data does not match the documents presented at the cash desk and the ones registered with the payment agent. In case the Shareholders' Registry on 17.10.2017 includes deceased persons, the dividends will be paid only after the request of the heir(s) and the registration at the Depozitarul Central of the direct transfer of ownership on the securities as an effect of succession, as per the legal applicable regime, as well as after the update of the information in the registry of the payment agent, following the request of Depozitarul Central. In case a shareholder is not included in the shareholders' database owned by the payment agent BRD, the shareholder is kindly asked to contact Depozitarul Central in order to remedy the situation.

The application for payment of net dividends by bank transfer, filled in in original, together with the supporting documents mentioned here within, shall be submitted as follows:

- a) At BRD cash desks
- b) Sent by mail, by certified letter, to „Directia Titluri - BRD METAV- Corp A2, str. Biharia nr. 67-77, sector 1, Bucuresti, Romania”. In this case, the supporting documents requested in Appendix

1, in simple photocopy shall be submitted in **legalized copy**, with the exception of the confirmation of the company's details issued by the Trade Registry Office (original and photocopy).